

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Mo Xu et al	Examiner:	N/A
Serial No.:		Group Art Unit:	
Filed:	9-22-2003	Docket No.:	STL11373
Title:	Side Mounted Air Plate And Diverter For A Data Storage Device		

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

Form PTO/SB/08A is attached hereto.

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Form PTO/SB/08A accompany this Information Disclosure Statement.

Section 3. Remarks or Additional Statements

- ☒ This Information Disclosure Statement is being filed along with a new patent application.
- ☐ This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- ☐ 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
1. a final action under section 1.113; or
 2. a notice of allowance under section 1.311
whichever occurs first.
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made; or
- ☐ No fee is required because certification statement under 37 C.F.R. §1.97(e) is noted below.
- ☐ 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
- ☐ A fee under 37 C.F.R. §1.17(p) is required and either of the statements below (37 C.F.R. §1.97(e)).

- ☐ 37 C.F.R. §1.97(e) Choose either Statement:
- ☐ Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing; or
- ☐ No item of information contained in the IDS was cited in a communication from a foreign patent office in the counterpart foreign application, and to my knowledge no item of information contained in the IDS was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this IDS.

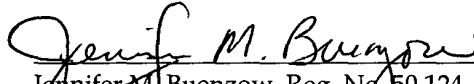
Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
(Assignee of Entire Interest)

22 September 2003

Date


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Docket:	STL11373		

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCAION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above identified application,
 REVOCAION OF PRIOR POWERS OF ATTORNEY
 all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following practitioners/patent agent are hereby appointed to prosecute and transact all business in the
 Patent and Trademark Office connected therewith.

Shawn B. Dempster, Registration No. 34,321	Raghunath S. Minisandram, Registration No. 38,683
Derek J. Berger, Registration No. 45,401	Mitchell K. McCarthy, Registration No. 38,794
Kirk A. Cesari, Registration No. 47,479	Carol I. Bordas, Registration No. 37,284
Paul T. Dietz, Registration No. 38,858	Jennifer M. Buenzow, Registration No. 50,124
David K. Lucente, Registration No. 36,202	Joseph F. Villella, Jr., Registration No. 30,599
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CHANGE OF ATTORNEY'S/ AGENT'S ADDRESS IN APPLICATION

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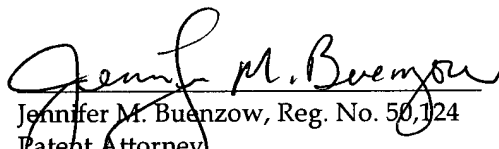
STATEMENT UNDER 37 CFR 3.73(b)

Seagate Technology LLC states that it is the Assignee of Entire Interest in the patent application/ patent
 identified above by virtue of an Assignment from the inventor(s) of the patent application/ patent
 identified above. A copy of the Assignment is attached and/or was recorded in the Patent and
 Trademark Office at Reel , Frame . The undersigned (whose title is supplied below) is
 empowered to sign this statement on behalf of the Assignee.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
 (Assignee of Entire Interest)

22 September 2003
 Date


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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number

Filing Date

9-22-2003

First Named Inventor

Mo Xu et al

Art Unit

Examiner Name

Attorney Docket Number

STL11343

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 4,703,379	10-27-1987	Bogdanski	
	AB	US- 5,036,416	7-30-1991	Mastache	
	AC	US- 6,449,119	9-10-2002	Hashizume	
	AD	US- 6,496,327	12-17-2002	Xia	
	AE	US- 6,545,842	4-8-2003	Rao	
	AF	US- 6,549,365	4-15-2003	Severson	
	AG	US- 6,549,366	4-15-2003	Ichiyama	
	AH	US- 20020196381	12-26-2002	Tsang	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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